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PTO/SB/05 (2/98)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04997.0013.NPUS00

First Named Inventor or Application Identifier

Mike OLIPHANT  
et al.

Title

System, Method and Apparatus for Integrated Access to  
Electronic Works

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patent  
Box Patent Application  
Washington, DC 20231

1. ☐ \*Fee Transmittal Form (Form PTO-1082)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 30 ]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 4 ]
- Oath or Declaration [Total Pages ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and  
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above  
copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)  
(should be specifically itemized)
14. ☐ \*Small Entity Statement(s) ☐ Statement filed in  
prior application,  
Status still proper  
and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: / (unofficial serial number)

Prior Application Information: Examiner: N/A Group/Art Unit: N/A

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below  
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Signature	<i>Derek J. Jardieu</i>			Date	January 17, 2001

January 17, 2001

*Box Patent Application*

Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Non-Provisional Patent Application  
Appl. No. To be assigned  
Filed: January 17, 2001  
For: **System, Method and Apparatus for Integrated  
Access to Electronic Works**  
Inventors: Mike OLIPHANT *et al.*  
Our Ref: 04997.0013.NPUS00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. U.S. Utility Patent Application entitled:

**System, Method and Apparatus for Integrated Access to Electronic Works**

and naming as inventors:

Mike OLIPHANT; Dan KAPLAN and John KNOTT

the application consisting of:

- a. A specification containing:

- (i) 23 pages of description prior to the claims;
- (ii) 6 pages of claims (25 claims); and

01/17/01



JC803 U.S. PTO

JC872 U.S. PTO

09/760714



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(iii) a one (1) page abstract; and

b. 4 sheets of drawings: (Figures 1-4); and

2. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,

*Derek J. Jardieu*

Derek J. Jardieu (Reg. No. 44,483)

Enclosures

09760744-011701